



ANNUAL NOTICE

CUSTOMER PRIVACY NOTICE

LMA recognizes that protecting the privacy and security of the non-public personal information we obtain about our clients is an important responsibility. All financial companies choose how they share your non-public personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your non-public personal information. Even when you are no longer our client, we will only share your non-public personal information as described in this notice. Please read this notice carefully to understand what we do.

What types of information does LMA collect?

The types of non-public personal information we collect and share depend on the product or service you have with us. This information can include items such as your Social Security number, date of birth, government identification, income, account balances, transaction history, employment, bank account(s), your investment experience and financial related transactions. For insurance products, information may include past, present and/or future health related matters or provisions of health care and/or payment for an individual.

We collect your non-public personal information in a variety of ways. For example, we obtain your non-public personal information when you open an account, provide your client profile suitability information, tell us about your existing investment accounts or deposit checks, or complete an insurance application. We also collect your non-public personal information from other companies, such as from the custodians of your account assets.

How does LMA share your personal information?

All financial companies need to share clients' non-public personal information to run their everyday business. We share your non-public personal information for our everyday business purposes such as to process your transactions, maintain your account(s), respond to court orders and legal or regulatory investigations, to protect the confidentiality and security of your records, or as permitted by law. We may also share your non-public personal information for our own firm's marketing purposes; to offer our products and services to you.

Federal law gives you the right to limit only sharing non-public personal information about your credit worthiness for our affiliates' everyday business purposes; sharing non-public personal information about you with our affiliates to market to you; and sharing non-public personal information with non-affiliates to market to you. We don't share non-public personal information about your creditworthiness with our affiliates for their everyday business purposes. We don't share your non-public personal information with our affiliates to market to you. We don't share your non-public personal information with non-affiliates to market to you. We also don't share your non-public personal information for joint marketing with other financial companies. State laws and individual companies may give you additional rights to limit sharing. We do not share non-public personal information with our affiliates. However, we share your non-public personal information with a non-affiliate for the purpose of aggregating it and providing summary information based on this data to our parent company, Focus Financial Partners, LLC.

How does LMA protect your personal information?

To protect your non-public personal information from unauthorized access and use, we use security measures that comply with federal law and financial industry standards. These measures include electronic safeguards and physical protection. Our policy about obtaining and disclosing non-public personal information may change from time to time. We will provide you notice of any material change to this policy before we implement the change. If you have questions please call us at 800-842-8834 or go to our website at www.laramayllc.com.